Part Two: A Guide to Research Translation

What is this guide?

This practical five-step guide aims to help university researchers translate their work into policy and practice. Researchers can use this to develop and implement their own customised research translation strategy, whether or not they have help from communications professionals.

This guide is tailored for researchers who work on the environment and sustainability, whatever their discipline. It focuses on engaging with the policy-making community and its influencers – politicians, public servants, the media, business and NGOs. However, this guide can also be used by academics who do not work on the environment.

It aims to help translate academic research. It is not aimed at seeking funding or partnerships. It does not cover cross-disciplinary communication between academics.

This guide draws on a body of literature on research translation (also called knowledge exchange, knowledge translation, research transfer, and engagement). Most is from the disciplines of environmental studies, health and management, and most is from the US and UK. The literature tends to focus on translating science but this guide caters for the humanities too. It is tailored for Australia. Some research – some of it of great academic merit – is not suitable for translation, in which case stop reading.

This guide has been informed by the experiences of staff at the University of Melbourne’s Sustainable Society Institute, who have worked in the media and the public service, and with politicians and NGOs.

This guide is project-based and applying it should begin at the start of a research project if possible (Fazey et al. 2014 p. 218) note that research translation is ‘often considered as an afterthought’). This strategy is scalable; it works for small projects (one researcher working on one academic paper) and larger ones (a multi-disciplinary, multi-year project).

Your written research translation strategy should be one to ten pages in length. Research translation can take as little as one hour to plan and four hours to implement.

The core assumption here is that the ‘need pull’ (Thorpe et al. 2011) for research findings is often weak, so researchers should strategically ‘push’ their findings out in a form likely to appeal to the target audience.

Each of the five steps includes a recommendation on when it should take place and how long it might take. This guide has been turned into an infographic (Figure One), which you can find on page 18 of this paper.

Step 1. Define goals

Can you deliver public impact from this research project? If so, what are the desired non-academic outcomes?

Examples include:

- A change in government policy
- Local government adopting a program
- Greater public awareness of an issue
- A change in business practice
• NGOs prioritising an issue

All members of a research team should participate in this stage. If you need help in defining goals, send a summary of the research project to suitable people – a public policy / social policy / communications expert may help – and follow-up.

Timing: as early as possible in the project.

Time budget: 30 minutes to three hours.

**Step 2. Define the target audience and seek to understand them**

The target audience is the desired end-user of your research. (Some literature uses ‘stakeholder’ but a common problem, especially with politicians, is that they do not see themselves as having a stake in university research.)

So with your desired outcomes in mind, who is the audience? Options include:

- Federal government
- State government
- Local government
- Bureaucracy
- Media
- Business
- NGOs

The next step is to seek a basic understanding of how the target audience works. What motivates them, what timeframes do they operate under, what are their information needs? Will they understand the language you use? Fazey et al. (2013) note the importance of understanding how the audience structures a discourse, ie a conversation about an issue.

Anthony Downs, a well-known (and to some controversial) writer on politics and economics, gave this advice to intellectuals and thinkers; ‘Get personally involved in activities that provide direct experience in the fields you want to write about…..Reading the literature is not enough,’(Downs 1998, p. 15, his italics)

To help with this stage, Table One lists key factors, drivers and assumptions to consider for non-academic sectors.

Timing: as early as possible in the project.

Time budget: 30 minutes to four hours. Can be done at the same time as Step 1.
Table One. A framework for research translation: mapping the drivers and assumptions of target audiences

Produced with the assistance of MSSI’s Director Brendan Gleeson, Deputy Director John Wiseman, and Public Policy Fellow (and former CEO of the Australian Conservation Foundation) Don Henry. Assistance also provided by Ian Porter (senior consultant with Nous Group, with 18 years as a senior policymaker in the Victorian government) and MSSI advisory board member Rosemary Bissett (Head of Sustainability Governance & Risk at the National Australia Bank).

<table>
<thead>
<tr>
<th>Core motivation</th>
<th>University researchers</th>
<th>Political class (MPs and their advisors)</th>
<th>Government bureaucracy</th>
<th>Media</th>
</tr>
</thead>
<tbody>
<tr>
<td>Building knowledge, exploring research questions, advancing personal status</td>
<td>Winning elections, getting promoted, implementing own concept of the ‘public good’</td>
<td>Informing and implementing effective public policy for the government of the day</td>
<td>For stories to be consumed by the public</td>
<td></td>
</tr>
<tr>
<td>Most valued sources of knowledge</td>
<td>Authoritative academic debates</td>
<td>Political allies and trusted sources and stakeholders. Also polls, focus groups, media. Lay knowledge acceptable</td>
<td>Relevant departmental staff and work commissioned by own department (eg from consultants)</td>
<td>Anyone with an interesting story to tell; premium on the powerful / famous</td>
</tr>
<tr>
<td>Assumption about value of university research</td>
<td>High. Assumption that it is authoritative</td>
<td>One input of many. In government, research evidence is usually filtered via public service</td>
<td>One input into policy decisions, has value if it objectively answers a relevant question</td>
<td>Has value but only when interesting</td>
</tr>
<tr>
<td>Priority questions client will have about university research evidence</td>
<td>Where and how does it engage with existing academic debates</td>
<td>Do voters care, political ramifications of acting / not acting, cost, their party’s position, what is the solution to the problem</td>
<td>Does research look at scientific, economic and social perspectives; does it recommend policy tools. Is researcher a politically neutral figure</td>
<td>Why does it matter, what costs are involved in the issue, availability of images</td>
</tr>
<tr>
<td>Barriers to using university research evidence</td>
<td>Fragmented system of information dissemination within academia; paywalls</td>
<td>Perception it is not relevant or timely; not ‘real-world’</td>
<td>Not at the right scale (national / regional / state data), not timely</td>
<td>Not written in an understandable way, no clear point / significance</td>
</tr>
<tr>
<td>University researchers</td>
<td>Political class (MPs and their advisors)</td>
<td>Government bureaucracy</td>
<td>Media</td>
<td></td>
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<tr>
<td>------------------------</td>
<td>----------------------------------------</td>
<td>------------------------</td>
<td>-------</td>
<td></td>
</tr>
<tr>
<td>Organisational culture</td>
<td>Large, hierarchical institutions which can move slowly. Research funding often insecure</td>
<td>Competitive, politicised, fast-paced</td>
<td>Risk-adverse hierarchical bureaucracies, often internally at war over power / policy / funding</td>
<td>Competitive, informal, fast-paced. Workplaces changing rapidly</td>
</tr>
<tr>
<td>Constraints on the client</td>
<td>Maintaining critical distance from policy sphere. Scholarly ethics</td>
<td>Time. And they may not hold much power within party</td>
<td>Must consider what government can approve and fund, working in 3-4 year electoral cycles, must be cautious publicly</td>
<td>Time</td>
</tr>
<tr>
<td>Language</td>
<td>A scholarly code, not easily understood by laypeople</td>
<td>Lay. If they have been in a portfolio for a while they will know the ‘jargon’</td>
<td>Formal, acronym-heavy language, with public-policy-specific terms. Comfortable with complex language</td>
<td>Lay</td>
</tr>
<tr>
<td>Most valued form of communication</td>
<td>Conferences, journal articles, meetings</td>
<td>Face-to-face meetings. Written summaries (two pages max), with bullet points of key findings, plus policy recommendations</td>
<td>Coffee meetings, powerpoints, two-page written summaries (longer policy briefs for more junior staffs)</td>
<td>One-paragraph story pitches, phone calls, Twitter. Interviews of 1 to 20 minutes. Will seek a strong quote and photograph</td>
</tr>
<tr>
<td>Time frame for results</td>
<td>Medium-to-long term, usually years</td>
<td>Short-to-medium term (usually weeks or months)</td>
<td>Usually 3 to 6 months, but can be interested in longer projects</td>
<td>Short-term (hours or days)</td>
</tr>
<tr>
<td>Perception of key risks to themselves</td>
<td>Losing reputation for academic rigour. Low research productivity. Unintended consequences of research (how it is acted upon)</td>
<td>Losing the battle of ideas in the electorate, losing elections. Risk to personal standing from taking a stake in an issue. Greatest risk can come from own party</td>
<td>Being seen as politically aligned, missing deadlines</td>
<td>Not breaking news, losing audience share, getting the story wrong</td>
</tr>
</tbody>
</table>
### Table One continued... NGO's and Business

<table>
<thead>
<tr>
<th></th>
<th>NGOs</th>
<th>Business</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Core motivation</strong></td>
<td>Delivering on their mission</td>
<td>Delivering financial success and returns to shareholders, being a reputable company</td>
</tr>
<tr>
<td><strong>Most valued sources of knowledge</strong></td>
<td>Credible, immediately useable knowledge that helps solve a challenge</td>
<td>Peers and people with established reputations, consultants and industry associations</td>
</tr>
<tr>
<td><strong>Assumption about value of university research</strong></td>
<td>High value if it meets a challenge and source of research funding is not problematic</td>
<td>Research from business schools is valued, but core University research (Science, Government etc) not usually highly valued</td>
</tr>
<tr>
<td><strong>Priority questions client will have about university research evidence</strong></td>
<td>How do I use this to impact decision-makers / public / members</td>
<td>What is in this for my company, what are the costs, risks and opportunities, why is this relevant</td>
</tr>
<tr>
<td><strong>Barriers to using university research evidence</strong></td>
<td>If not in useable form for the NGO's audience (little time to process it further)</td>
<td>Too slow; researchers like their work to be exact which takes too much time. Outputs too long, language heavy / technical</td>
</tr>
<tr>
<td><strong>Organisational culture</strong></td>
<td>Focused on mission, membership and the NGO's profile, ambitious agendas but limited resources, NGOs compete for ‘turf’</td>
<td>Reward-focused. Hard-working staff, long hours. Smaller companies tend to be nimbler, larger companies more formal. Workplaces can be political</td>
</tr>
<tr>
<td><strong>Constraints on the client</strong></td>
<td>Time, few staff working on a limited number of issues, responsibility to board and membership</td>
<td>Time. Staff are trying to get across many issues</td>
</tr>
<tr>
<td><strong>Language</strong></td>
<td>Will use credible, lay language. Familiar with policy / political language, may not be familiar with research language</td>
<td>Lay, avoid acronyms. Focus on cost / benefit, risk, opportunity</td>
</tr>
<tr>
<td><strong>Most valued form of communication</strong></td>
<td>Oral. One face-to-face meeting of 15 minutes. Written needs vary (ask)</td>
<td>2 page summaries plus graphs / tables. Meetings of 30 minutes. Some executives listen to podcasts (10 minutes max)</td>
</tr>
<tr>
<td><strong>Time frame for results</strong></td>
<td>Varied; immediate to campaigns of 1-3 years</td>
<td>Varies from hours to months, and deadlines change suddenly</td>
</tr>
<tr>
<td><strong>Perception of key risks to themselves</strong></td>
<td>Losing credibility with public, members and donors. Not having impact</td>
<td>Losing money or reputation, delivering poor returns to shareholders, more onerous regulatory environment</td>
</tr>
</tbody>
</table>
Step 3. Design a research translation strategy

Now you have a goal and audience(s) in mind, and a basic understanding of how they work. A research translation strategy maps out what outputs to generate and when. An appropriate length for such a strategy is one page for a smaller project, longer for a larger project.

Central to this task is reconfiguring your knowledge and findings for the target audience; tailoring your communications to suit them. Your approach and outputs should be different for each target group.

If designing the strategy at the start of the project, you could tweak your research questions, methodology or timing to make the research more policy-relevant. As Giles-Corti (2014) suggests, options include:

- Frame up the research question as generating solutions
- Link the research question directly to the policy
- Assess co-benefits
- Measure public opinion
- Include economic analysis, do a cost-benefit analysis.

The strategy should address these questions:

What type of output … Informal phone conversations, a meeting with a powerpoint, a workshop, a written briefing? Perhaps you could jointly present research findings with the target audience. It helps to ask them what their information needs are, which is rarely done.

… and don't forget online. A strong online presence is required to get the message out. Should your project have a landing page via your Institute or faculty website, and what should be uploaded? At a minimum, provide some materials to your Institute / faculty for them to post. Helpful materials are fact sheets, summaries for policy-makers, FAQs, powerpoint presentations, videos and infographics. Twitter and Reddit can generate interest. Many academic websites are poorly designed, mix fonts, contain dead links, are out-of-date, and don’t answer key questions, all while providing a deluge of words.

When. Timing is important and there will be periods when a client isn’t able to look at your research or can’t act on it (Reed et al. 2014). Draw up a ‘decision calendar’ for outputs, noting key dates that affect the client, eg budgets, elections, AGMs, summits, etc (Jacobs 2002).

Who. Who will drive the research translation strategy and who will help? Options include:

- Get help from a communications professional or knowledge broker (in-house or outsourced)
- Seek to foster a relationship with an ‘inside contact’ from the target audience
- Get buy-in from the target group; they could join the advisory board for the research project / institute
- Find an external champion, eg an opinion-leader, to help
- Who in your team is best placed to drive the process? Personal relationships are crucial; anyone with contacts in the client sector should use them.

It might help for a team member to get training in media skills, policy or communications. Universities all have such courses, for example the University of Melbourne has:

• The Media and PR unit offers regular media training sessions http://msl.unimelb.edu.au/unicomms/media-pr

**Funding.** Should funds be set aside for research translation? Some experts recommend dedicating 5-10 per cent of project funding to this. Funding needs include staffing, consulting, online design, workshops and travel. There is anecdotal evidence that arranging exchanges and internships between the project team and target audiences can help.

Timing: early in the project if possible, but this will work towards the end. If you can get the client involved in this process the chance of success is higher.

Time budget: up to one day.

**Box Two:** ‘My research is deeply critical of the client. How do I translate that?’

Target early adopters from within the client group; those who are more likely to accept change. Target alternative regimes (e.g., a political opposition). If your message is deeply critical, start with material which is less confronting to initiate a conversation, then build up to the key points. Be sure to tell the client what, based on the research evidence, they should do — no matter how unlikely it might seem that they will take it up.

**Step 4. Implement the strategy: tailor your communications**

This can happen throughout the project or at the end. As the project advances, reconfigure outputs for the clients at appropriate times and in appropriate formats. It’s not ‘one size fits all’.

**Key considerations include:**

*How to frame knowledge for the client.* Some academics rely on a ‘deficit’ model of engagement; the audience is perceived as ignorant, an empty vessel to be filled by experts (Besley & Nisbet 2011, Groffman et al. 2012). But academic research is just one knowledge input for decision-makers. Clients will be weighing up how they fit knowledge into a framework; what meaning it has for them. It helps if the research is framed in a way that has meaning for the client. Build a narrative, a storyline that the client would care about (Groffman et al. 2012).

Don’t assume knowledge. Interrogate what knowledge you are assuming in others. A common error among specialists is forgetting to include basic facts, which can alienate the target group.

*Mind your language.* Sometimes the audience will not understand academic language. Each external audience has its own ‘jargon’ but these jargons differ. A useful tool is to imagine your knowledge in a different way, outside of your usual work context (Bracken & Oughton 2006). Another approach is to include someone from a different discipline (or not from academia) on the project team to monitor language. The value of a one- or two-page plain-English summary of research findings, including subheadings and dot points, is gold. One simple table or graph, with the axes labelled in lay terms, can go with it.

Be opportunistic. Downs (1972) famously described the ‘issue attention cycle’: issues rise dramatically up the public’s agenda, but the public soon bores of the issue so the issue fades away again. Catalysts for sudden public interest in your research could be a disaster, a minister making a bold statement, or a change in government. The wily researcher should be ready.
Select someone from your team to keep a watching brief on your topic (who spends the most time on the internet?) and be poised to take action, even if your research project is not polished. The window may only be two days or a week, so act. If you try a research translation exercise at a time that suits you but when the issue attention cycle is low, you may struggle.

**Build relationships.** Creating informal opportunities for researchers and clients to get to know each other helps. Schedule time to interact – breaks, meals, coffees – into meetings. The literature points to the importance of personal relationships and trust in research translation – a key factor is who the knowledge comes from. Time and time again I have seen that people will pay more attention to a known, trusted source than to an ‘expert’ they don’t personally know who may be difficult to understand.

**Listen.** Listen to feedback from your clients and where appropriate, act. Ask if there’s a ‘gap’ in your research that is hampering impact. A great question to ask the client is ‘what would you like from me?’. This is rarely asked.

Research translation experts note that soliciting and responding to feedback boosts ‘buy-in’ to the research and a sense of shared ownership of findings (Reed et al. 2014, Jacobs 2002). In the past, strategies of what was called ‘knowledge transfer’ tended to be one-way. Besley and Nisbet (2011) map this model for scientists; a study found just 12 per cent thought engaging with the public meant listening to the public. The literature now highlights the benefit of two-way communication.

**Timing:** throughout the research project, or at the end.

**Time budget:** this may involve one Full Time Equivalent (FTE) for a chunk of time or can be scattered throughout the project.

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**Box Three: ‘I don’t have time for research translation, I’m flat-out working on my research.’**

Some research translation tasks can be carried out with as little as one hour of an academic’s time. The academic should be involved in planning and approving the exercise, but other staff can assist with implementation, especially those who work on communications, publications, events, outreach and administration.

Funding can be sought to get help on research translation from a knowledge broker or communications professional. Or the task can be delegated to another team member.

Many research translation exercises are not time-consuming. A researcher may have worked on an academic publication for a year; turning it into an 800-word opinion piece for the media should take 2-4 hours.

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**Step 5. Evaluate – and wait**

Once the project is completed and research translation strategy carried out, evaluate its success. The literature acknowledges this is difficult. Some suggest qualitative methods over quantitative but there’s no established template (Fazey et al. 2014). A group discussion is helpful; write this up.

Some universities have templates for Impact Assessment. Some hire consultants to do evaluations, for example Victoria University has hired consultants to gather feedback from clients, and rated programs on significance and reach.
This guide proposes a basic framework for evaluating research translation (Appendix Two). A key technique is to go back to your goals (Step 1). Did you achieve them? If so, what worked?

Timing: at the end of the research translation phase

Time budget: 30 minutes to three hours.

Finally, a research project may end, but research translation shouldn’t. Timing is crucial and a team member should be responsible for monitoring developments and trying again with the research findings if the ‘issue attention cycle’ becomes favourable. Even a year or two after the project finishes, the research remains relevant.
A GUIDE TO RESEARCH TRANSLATION
for University Researchers

1. Define your goals
   eg. Change government policy
   Increase public awareness

2. Understand target audience
   Government, bureaucracy
   Media, business, NGO's
   What motivates them? Timelines?
   What information do they need?

3. Design your strategy
   What type of output?
   Do I need funding for this?
   Link research question directly to outcome

4. Implementation
   Tailor communications as you go
   Mind your language!
   Keep it short and sweet
   Tell a story the audience will care about

5. Evaluate
Further resources

**International**


Living with Environmental Change is a UK group of environment researchers, funders and government. It has a useful 8-step framework on ‘knowledge exchange’ [http://www.lwec.org.uk/ke-guidelines](http://www.lwec.org.uk/ke-guidelines). This is targeted towards researchers who are working directly with stakeholders (eg farmers) on research implementation. The homepage is here [http://sustainable-learning.org/](http://sustainable-learning.org/). Twitter accounts are @SustainLearning and @lecmsr

The UK's Grantham Institute for Climate Change produces impact-focused reports, briefing papers, event overviews and impact assessments, [http://www.imperial.ac.uk/grantham/publications/briefing-papers/](http://www.imperial.ac.uk/grantham/publications/briefing-papers/) Twitter account is @GRI_LSE

The LSE runs a blog on the impact of social science research [http://blogs.lse.ac.uk/impactofsocialsciences/](http://blogs.lse.ac.uk/impactofsocialsciences/) and runs Twitter accounts @Write4Research LSE and @LSEImpactBlog

**Australian**

Dr Carly Cook at the University of Monash publishes on increasing the use of scientific information on environmental decision-making, focussing on ecology / biology / conservation. Publications are here [http://carlycookresearch.wordpress.com/publications/](http://carlycookresearch.wordpress.com/publications/)

Appendix One

Case study of the impact of excluding academics from policymaking: environmental policy

Australia has experienced political and policy volatility since 2007, particularly in environmental policy, where eight people acted as environment or climate change minister over the eight years to 2014. The portfolio has been characterised by policy errors, reversals and ‘broken promises’. This has affected both major political parties in Australia.

Evidence for this is provided in Table Two, which lists 26 major environment-related promises and policies from Labor and the Coalition between 2007 and 2014, categorising them according to whether the promise / pledge was a success, a failure, is under threat, or its fate is unclear. This refers only to the ‘stickiness’ of the policy; did it survive? A policy success must meet three criteria; to have been implemented and remain in place, and to not have been seriously threatened with removal. ‘Success’ does not refer to whether a policy might be rated positive for the environment.

As Table Two shows, of the environmental policies listed, 31 per cent can be characterised as policy successes while 69 per cent cannot. Almost half – 46 per cent – are outright failures in that they were dropped or abolished. Based on that evidence, I would argue recent environmental decision-making has been characterised by instability and inconsistency. The portfolio has suffered through a breakout of partisanship and policy derangement.

I would also suggest the field has not been characterised by a strong role for University researchers. Writing on environmental management in the UK, Fazey et al. (2014) refer to ‘disconnections between research and practice’. Hulme (2014) refers to ‘the knowing-doing gap’. While some overseas literature points to the growth of evidence-informed policy, this is not evident in Australian environmental decision-making. Based on my own experience, political parties have seen the environment as a sensitive issue which can win votes but can get them into trouble, costs too much money and annoys powerful producer interests (eg. fossil fuel and mining companies). Policy has been largely based on perceptions of public sentiment (which changes and can be hard to gauge), and on media attention. Policy focus wavers – the focus might be on the Murray-Darling Basin, then feral cats, then farming runoff to the Great Barrier Reef – leaving a trail of policy reversals and rollbacks as ministers respond to criticism and think twice about economic impacts. The value ascribed to expert research in all this has been patchy; more attention is sometimes paid to whether an environmental policy comes with good picture opportunities for the minister.

Consider these three brief case studies:

Example 1: Labor’s 2010 election promise to have a ‘cash for clunkers’ scheme to retire older cars did not emanate from, and was not guided by, University research. It represented a high marginal cost for emissions reductions. It was dropped after Labor won the election.

Example 2: The Coalition’s decision to informally drop Labor’s promise to reduce emissions by up to 25 per cent by 2020 was not backed by research on climate change. It would be difficult to find an atmospheric or climate scientist who supports the Coalition’s policy of a 5 per cent cut in emissions by 2020.

Example 3: Funding is sometimes earmarked for marginal electorates and charismatic
threatened species where the chance of survival is vanishingly small. This is despite a strong body of academic research on how to effectively allocate limited conservation funds.

There are exceptions to this pattern of academics being disempowered in environmental decision-making. Some elements of Labor’s climate policies were heavily influenced by Ross Garnaut, an economist at the Australian National University and the University of Melbourne.

And it is of course difficult to establish a causal link – that excluding academics from environmental decision-making has caused or contributed to policy failure. But it can be argued that excluding University researchers has not been a roaring success.
### Table Two. A catalogue of failure, federal environmental policy 2007-14

Order is chronological

<table>
<thead>
<tr>
<th>Environmental policy or promise</th>
<th>Party and date promise was made</th>
<th>Successfully implemented?</th>
<th>Policy reversal by own party ('failure')</th>
<th>Policy reversal by opposition ('failure')</th>
<th>Attempted policy reversal by opposition ('under threat')</th>
<th>Not yet operating ('unclear')</th>
<th>Implemented and has stuck ('success')</th>
</tr>
</thead>
<tbody>
<tr>
<td>Price carbon under ETS</td>
<td>Labor (Rudd, 2007)</td>
<td>Promise dropped</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sign Kyoto Protocol on climate change</td>
<td>Labor (Rudd, 2007)</td>
<td>Yes</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Appoint a Climate Change Minister</td>
<td>Labor (Rudd, 2007)</td>
<td>Coalition ended this practice</td>
<td></td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Establish a stand-alone Climate Change Department</td>
<td>Labor (Rudd, 2007)</td>
<td>Labor reversed this by merging the department</td>
<td></td>
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</tr>
<tr>
<td>Expand the RET</td>
<td>Labor (Rudd, 2007)</td>
<td>Yes, but Coalition seeking to wind back</td>
<td></td>
<td></td>
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<td></td>
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</tr>
<tr>
<td>End carbon pricing scheme</td>
<td>Coalition (policy since 2009)</td>
<td>Yes</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Subsidised green loans for homes</td>
<td>Labor (Rudd, 2009)</td>
<td>Scheme failed and was dropped</td>
<td></td>
<td></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>Subsidised home insulation scheme</td>
<td>Labor (Rudd, 2009)</td>
<td>Scheme failed and was closed, Royal Commission followed</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Prosecute case in ICJ against Japan over whaling</td>
<td>Labor (Rudd, 2010)</td>
<td>Yes</td>
<td></td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Continue Murray-Darling Basin plan</td>
<td>Labor / Coalition</td>
<td>Yes, although Coalition may amend</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Environmental policy or promise</td>
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<tr>
<td>Reduce emissions by 5-25% by 2020</td>
<td>Labor (Rudd, Gillard)</td>
<td>Coalition has reduced to 5%</td>
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<tr>
<td>Cash for Clunkers scheme to retire high emissions cars</td>
<td>Labor (Gillard, 2010)</td>
<td>Promise dropped</td>
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<tr>
<td>Tighter standards for new coal-fired power stations</td>
<td>Labor (Gillard, 2010)</td>
<td>Promise dropped</td>
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<tr>
<td>Pledge to have a Citizen's Assembly on climate change</td>
<td>Labor (Gillard, 2010)</td>
<td>Promise dropped</td>
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<tr>
<td>Pledge not to have a carbon tax</td>
<td>Labor (Gillard, 2010)</td>
<td>Promise dropped</td>
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<tr>
<td>Implement Direct Action / ERF scheme to reduce emissions</td>
<td>Coalition 2010</td>
<td>Has passed Parliament, in process of being implemented</td>
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<tr>
<td>Establish carbon pricing scheme</td>
<td>Labor (Gillard, 2011-13)</td>
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<tr>
<td>Establish Climate Commission to liaise with public on climate change</td>
<td>Labor (Gillard, 2011)</td>
<td>Coalition closed it</td>
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<tr>
<td>Establish Carbon Farming Initiative to reward land managers who cut emissions</td>
<td>Labor (legislation prepared 2011)</td>
<td>Yes</td>
<td></td>
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<tr>
<td>Environmental policy or promise</td>
<td>Party and date promise was made</td>
<td>Successfully implemented?</td>
<td>Policy reversal by own party ('failure')</td>
<td>Policy reversal by opposition ('failure')</td>
<td>Attempted policy reversal by opposition ('under threat')</td>
<td>Not yet operating ('unclear')</td>
<td>Implemented and has stuck ('success')</td>
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<tr>
<td>Expand marine parks</td>
<td>Labor (Gillard announced 2012)</td>
<td>Yes, but coalition may remove protections</td>
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<tr>
<td>Establish green bank (CEFC)</td>
<td>Labor (Gillard 2012)</td>
<td>Yes, although coalition tried to remove this</td>
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<tr>
<td>Establish Climate Change Authority to advise government</td>
<td>Labor (Gillard 2012)</td>
<td>Yes, although coalition tried to remove this</td>
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<tr>
<td>Establish Australian Renewable Energy Agency</td>
<td>Labor (Gillard 2012)</td>
<td>Yes, although coalition tried to remove this</td>
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<tr>
<td>Add areas of Tasmanian high- conservation - value forest to World Heritage areas</td>
<td>Labor (Gillard added 2013)</td>
<td>Coalition formally applied to remove this but failed</td>
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<tr>
<td>Establish a Green Army</td>
<td>Coalition 2013</td>
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<tr>
<td>Delegate environmental decision making to states</td>
<td>Coalition 2013</td>
<td>Not yet operational, may have</td>
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<td><strong>Total</strong></td>
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<td>8 4 5 1 8</td>
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</table>

Of the policies listed (N = 26), 31% (N = 8) can be characterised as policy successes while 69% (N = 18) cannot. Almost half – 46% - are outright failures in that they were dropped or abolished.
Appendix Two

Impact assessment: evaluating impact

Template checklist for basic assessment, post research-translation exercise

1. What were the goals of the project, in terms of public impact?
2. Were they met?
3. List the successes (eg media stories, decisions by a board, changes in policy, minister reviewing an issue).
4. Was the project taken up locally, at a state level, and / or federally?
5. What worked well in terms of research translation tools?
6. What didn’t work well?
7. What would you do differently next time?
8. How many written outputs were created for a non-academic audience, and of what type? (Please note which are online now)
   - Briefing paper
   - Summary for policy-makers
   - Executive summary (2 pages max)
   - Powerpoint presentation
   - Letter / email to an MP / minister
   - Brochure / booklet / poster
   - Media release
   - Media articles (op eds by team member, or written by journalists)
   - Letter to the board
   - Briefing for the board
   - Other
9. How much traffic did the website / online outputs receive? (Specify Unique Browsers, Page Impressions, average time on site, geographic location of visitors.)
10. Did this project have a social media presence (Twitter, Facebook, LinkedIn)?
11. How many meetings / events / workshops / open days took place with a non-academic audience? How many of the research team participated?
12. Was there any follow-up from clients seeking more information from any written output, meeting or workshop?
13. Was additional funding secured via the research translation process?
14. Rate the satisfaction of clients if possible. (This may be done via consultants or via a University staff member not on the research team.)
15. Are there any events or changes that would create a more receptive environment for the research project? Who has been nominated to keep a watching brief?

References

Besley, JC & Nisbet, M 2013, ‘How scientists view the public, the media and the political process’, Public Understanding of Science, 22(6), pp. 644-659.


Shergold, P 2011, ‘Seen but not heard’, Australian Literary Review, May 4, pp. 3-4


www.sustainable.unimelb.edu.au

Melbourne School of Design (B1g 133)
Masson Road
The University of Melbourne
Parkville VIC 3010, Australia

About MSSI:
The Melbourne Sustainable Society Institute (MSSI) aims to facilitate and enable research linkages, projects and conversations leading to increased understanding of sustainability and resilience trends, challenges and solutions. The MSSI approach includes a particular emphasis on the contribution of the social sciences and humanities to understanding and addressing sustainability and resilience challenges.